

Georgetown University Study-Specific Disclosure Form

Each Investigator – defined as an individual responsible for the design, conduct, or reporting of research – must complete this form. Principal Investigators are responsible for ensuring that all other Investigators on a project complete this form.

Name of Investigator Submitting Disclosure: Indivar Dutta-Gupta
Dep't Div.: Law Center Tel: 202-662-9098 E-mail: id141@georgetown.edu
Project/Grant Title: Reducing Poverty Collaborative Grant PI Dep't/Div.: Center on Poverty and Inequality
Project PI: Indivar Dutta-Gupta RX# (if known):
Sponsor(s): JPB Foundation, via Center for American Progress Agency/Sponsor Grant # (if known):

Please ensure that your Investigator General Disclosure Form ("Investigator GDF")—which contains your disclosures regarding outside activities and financial interests related to your institutional responsibilities/activities—is current and complete. **If your Investigator GDF is not current, you must update it now.** If you have any outside activities and financial interests related to this research, you must ensure that they are disclosed in your general disclosure as required by the [University's Financial Conflict of Interest Policy](#) ("FCOI Policy"). If you do not have access to the University's electronic general Disclosure System ([TRACS](#)), you must fill out the PDF version of the Investigator GDF, accessible via the [Office of Regulatory Affairs](#).

Investigators with access to the electronic Disclosure System (TRACS)

I have completed my Investigator GDF in [TRACS](#).

☒ Yes ☐ No (please log-in & complete your form)

Investigators without access to TRACS

Please complete a PDF of the Investigator GDF and attach.

1. ☒ Yes ☐ No Have you reported any financial interests (on your Investigator GDF) of outside activities related to your institutional responsibilities/activities?

2(a). ☐ Yes ☒ No Are any of the interests related to the project listed above?
If yes, please explain how each related interest is related to the research.

2(b). ☐ Yes ☒ No Are any of the interests in an outside entity that owns, manufactures or distributes a drug, compound, device or other substance or article being tested or used in this project?
If yes, please provide details.

2(c). ☐ Yes ☒ No Are any of the interests in a product, or an outside entity that owns, manufactures or distributes a product, that, to the best of your knowledge, competes with the drug, compound, device or other substance or article being tested or used in this project?
If yes, please provide details.

2(d). ☐ Yes ☒ No Are any of the interests intellectual property interests in technology related to this project?
If yes, please explain what the intellectual property is and how it relates to the research.

I have read the [Georgetown University Financial Conflict of Interest Policy](#) ("FCOI Policy"), and the answers on my Investigator general disclosure form and this study-specific disclosure form are accurate to the best of my knowledge. If I am submitting a grant application for NIH, NSF, or other federal agency funding, I acknowledge that I have received a summary of the applicable federal regulations relating to financial conflict of interest ("FCOI") in extramural research and I agree to abide by the disclosure responsibilities of an Investigator as defined in these regulations. If GU determines that an FCOI exists, I agree to comply with any condition imposed by the University pursuant to the GU FCOI Policy (and/or applicable laws or regulations) to manage, reduce, or eliminate the FCOI, or I will withdraw as an Investigator on this research. I will update this disclosure annually or within 30 days if my circumstances change, either because of a change in my or my family's financial interests, a change in my University activities, or any other changes that could affect the fact or appearance of an FCOI.

Name of Investigator Submitting Disclosure (printed): Indivar Dutta-Gupta

Address: Gewirz 303, 120 F St NW, Washington, DC

Signature: [Signature]

Date: 28 March 2018

Guidance for Conflicts Disclosure in Informed Consent

A conflict of interest (COI) exists when an Investigator or the University has financial or personal relationships that may compromise or present the appearance of compromising an individual's, group's, or institution's judgment in conducting, reviewing, or reporting research. These relationships vary from those with negligible potential to those with great potential to influence judgment, and not all relationships represent true COIs. **A fully informed consent is one in which all related financial interests are disclosed.**

To facilitate appropriate disclosure of potential conflicts of interest in the informed consent document, the following suggested language is provided. It is not mandatory to use these specific provisions. Language should be modified to fit the specific facts and circumstances.

This study is paid for by [name of sponsor] which [has no financial interest in its outcome] (or) [owns the {drug} (or) {device} being tested and thus has a financial interest in the outcome of the study]. Payments are made to Georgetown University (GU) and the funds are used to cover the expenses of the study and related academic and research activities of the institution.

[The investigators and Georgetown University do not have any financial interest in the outcome of the study] (or) [insert disclosure of potential conflict(s) of interest by investigator(s) and/or institution(s); using such statements as the following:

1. The investigator, Dr. _____ (full name), owns equity (stock) of the company which is paying for this research.
2. The investigator, Dr. _____ (full name), personally receives consulting or other payments from the company which is paying for the study (or occasionally serves as a paid consultant or paid scientific advisor).
3. GU/MedStar owns equity (stock) of the company which is paying for this study.
4. The investigator, Dr. _____ (full name), is an inventor of [the drug, compound, device, etc.], for which a patent may be filed by the institution. If the patent is pursued, based on data from this and other research, royalties and other compensation may be received by the institution and the investigator. Thus, GU/MedStar and the investigator have a financial interest in the outcome of this study.

If you require further information regarding the financial arrangements described in this paragraph, you should discuss the matter with the investigator,

Dr. _____,

Phone: _____.

Guidance for Conflicts Disclosure in Publications and Presentations

Financial and/or Intellectual property interests (e.g. patents or patent applications) must also be disclosed in all related publications and presentations.

If you are a Georgetown University Medical Center Investigator, please direct questions about this Georgetown University Study-Specific Disclosure Form to Sheila Cohen Zimmet, Office of Regulatory Affairs, at (202) 687-8437 or zimmets@georgetown.edu.